

LeadLets: Towards a Pattern Language for Leadership Development of Human and AI Agents

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Abstract

Advances in artificial intelligence (AI) technologies have inspired businesses and researchers to identify new ways in which AI can improve our way of life. One such quest lies in giving AIs complex human capabilities - like leadership. We take the first step towards that goal and propose a pattern-based approach to leadership. We argue that leadership best practices are actually a series of mini interventions each of which results in a consistent and desired response from the followers. When codified, these repeatable interventions can serve as foundational blocks for AI algorithms. To this end, we introduce LeadLets: A pattern language that codifies named, scripted, and repeatable leadership techniques that have a predictable influence causing a purposeful effect on one or more individuals. We argue that a pattern-based approach such as LeadLets can create leadership templates that inform programing leadership behavior into AI artifacts and designing leaders development programs.

1. Introduction

Chris is an innovative leader and the CEO of TLG Technologies which builds robots to help groups in crisis situations. As she reflects on her leadership style, she realizes that she often repeats the same tactics in given type of leadership situation. With further thought, she realizes that she repeats the behaviors as they work every time. If that's the case, she thinks, why not develop a list of techniques that are good solutions for recurring leadership problems, and use this list to train novice leaders at TLG Technologies? 'Indeed,' she thinks, 'Why not collect the best practices of other good leaders?' And then, the epiphany, 'Would it be possible to codify the techniques into their crisis support robots, so that they can be more effective at crisis response?'

Peter Drucker once noted that “the computer makes no decisions; it only carries out orders. It's a total moron, and therein lies its strength...” [29](p.8). We have come a long way since that era, especially with the upsurge in Artificial Intelligence (AI) systems. We define AI as digital entities that can perform tasks commonly associated with intelligent beings. The role of AI is rapidly advancing from being reactive support providers to being interactive teammates that work hand in hand with teams to facilitate high quality outcomes [47]. We already have AI systems that act as companion robots to

provide company to the elderly [45], decision making systems that help us navigate through complex problems [32], and warning systems that protect us in face of danger whether it is on the road [48], severe weather [8], or human deception [26, 27]. However, to our knowledge, research and development has not reached a point where AI is capable of critical thinking and problem solving at par with human abilities. As a result, superior human capabilities, like leadership, still remains out of reach of AI. The goal of this paper is to take first steps towards bridging this AI leadership gap by recommending a pattern-based approach to codify leadership behaviors.

In this paper we define leadership as a process of influencing one or more co-members of a collective to advance towards one or more shared goals. It is invaluable for an organization to execute its strategy and maintain competitive advantage [37]. So far, it has been a uniquely human capability that is a sought-after by individuals and corporations alike [12]. Considerable effort in research and practice is devoted towards leadership development [38]. However, there appears to be scarce consistent, empirical evidence to support the link between content and conditions of leadership training [19, 23]. In other words, it is difficult to ascribe clear and direct linkages between the leadership concepts taught and leadership behaviors practiced. One way to address this problem is to build a scholarly foundation of evidence-based leadership interventions that are both replicable and transferable. Such evidence-based leadership interventions can also serve as building blocks for AI leadership.

AI leadership can be thought of as the leadership role assumed by the AI artifact to guide a group of followers through a decision-making or problem-solving process. Codified, replicable, and transferrable interventions can lay a firm foundation to create algorithms that can be useful to guide the AI to respond with appropriate leadership behaviors. Thus, it appears that the solution to both advances in leadership AI as well as leadership development will benefit from the successful distillation, codification, and replication of effective leadership behaviors. As a step toward meeting the dual need a) to have a standardized approach to train new leaders and b) to create foundation for AI leadership algorithms, we propose a new approach to leadership based on pattern languages [2]. In this paper we take a first step toward using pattern language principles to identify and codify reusable leadership interventions.

In the sections that follow, we first discuss the history of leadership behavior research, specifically from the perspective of replicable leadership behaviors. Then we discuss how Collaboration Engineering can provide inspiration to apply a pattern perspective to leadership. Next, we demonstrate how leadership best practices can be identified and codified as patterns and techniques which can be used as AI design blocks as well as leadership development tools. To this end, we rely on interviews with successful leaders and review of leadership literature to identify leadership patterns and techniques as leadership best practices. We present a template to codify the leadership best practices, offer preliminary examples of leadership patterns and present three codified and transferrable leadership techniques that have been distilled from the aforementioned patterns as a “proof of concept”. Finally, we discuss the implications of this work and outline directions for future research.

2. Background

As noted above, the first step towards developing leadership AI is to code the leadership process into observable behaviors that move one or more followers towards achieving the leader’s goal [26]. Importantly, these coded behaviors must be reusable, predictable, and easily transferable for them to be useful as a foundation for both novice humans and AI algorithms [14]. One might intuit that the data needed for this effort would be readily available in the literature, given the more than 60-year history of studying leadership behaviors [40]; however, the methods used to date do not yet meet the three essential criteria of reusability, predictability, and transferability. Below, we provide a brief review of the history of leader behavior research and explain how and why it falls short of the three essential criteria. We then propose a new way forward borrowing from the discipline of Collaboration Engineering.

2.1. History of Leader Behavior Research

The formal study of leadership—influencing one or more individuals towards achieving a shared goal [52]—began 150 years ago with a search for heritable traits that could distinguish effective and ineffective leaders [31]. After nearly a century, the trait paradigm gave way to the behavior paradigm of leadership research [13, 34, 49], which emphasized the things that leaders actually do or actions that they take. Generally, these approaches relied on descriptive methods of data collection (e.g., direct observation, anecdotes, behavior description

questionnaires). Through the use of factor analysis, all of these early studies converged on the discovery of two meta-categories of leadership behavior—task-oriented and relations-oriented [52].¹ Task-oriented leadership behaviors are actions that directly support achieving a shared goal, while relations-oriented leadership behaviors are actions that influence others to allocate effort towards achieving a short goal.

Since the 1950s and 1960s, behavioral frameworks have become the dominant approach in leadership research [28]. Expanding from the original two dimensions, other leader behavior frameworks include passive leader behaviors (e.g., laissez-faire; [10]), inspirational leader behaviors (e.g., transformational and charismatic; [9, 18], and, most recently, value-based and moral leader behaviors (e.g., authentic, ethical, and servant; [15, 39, 41]).

2.2. Limitations of Prior Leader Behavior Research

Despite their popularity in academia, these frameworks prove to be very challenging to translate into practice, especially when it concerns the development of new leaders, human or machine. We believe the primary reason for this is the competing values between science and practice [51]. Specifically, in the study of leadership behaviors, parsimony and generalizability have been prioritized, which necessarily forces a tradeoff with precision and accuracy [3, 4, 30]. For example, it is well-established across multiple meta-analyses that relations-oriented behaviors are positively related to a team’s performance [16, 33, 35]. A practitioner reading this finding would likely be interested in seeing leaders in his/her organization trained in executing these behaviors. To understand what it means effectively execute relations-oriented behaviors, one could seek insight from the definition, provided above, but it lacks sufficient detail to inform training on its own. A next logical step might be to review measures of relations-oriented behavior seeking more detail. Indeed, some items in the widely used Leader Behavior Description Questionnaire [49] may be useful, such as “I allow the members complete freedom in their work” or “I publicize the activities of the group.” However, the vast majority of the 100 items do not describe behaviors that are reusable, transferable, and have a predictable effect. A few examples include, “I am friendly and approachable,” “I make pep talks to stimulate the group,” and “I do little things to make it pleasant to be a member of the group.”² The lack of specificity in describing leader behavior in prior research is a problem widely recognized by scholars (e.g., [5, 17, 50]). To the extent that there are multiple ways to execute a given behavioral description, it

¹ These meta-categories are otherwise referred to as initiating structure and consideration (Fleishman, 1954), production-centered and employee-centered (Likert, 1961), or concern for production and concern for people (Blake & Mouton, 1964).

² In the interest of transparency and to avoid impressions that we “cherry-picked” items that support our argument, readers are encouraged to review the items themselves at https://cyfar.org/sites/default/files/LBDQ_1962_Self_Assessment.pdf

automatically fails to meet all of the criteria specified above—reusability, predictability, and transferability. It is not *reusable* if a behavior is not described in sufficient detail to be replicated. It cannot provide a *predictable* effect if each time the behavior is executed, it is executed in a different manner. Finally, it is not *transferable* because the lack of specificity of the practical execution of the leadership behavior makes it impossible to provide clear instructions to novices. One pathway to resolve this conundrum can be found in the field of Collaboration Engineering.

2.3. Collaboration Engineering

Leadership behaviors have been extensively studied from a pattern perspective in the context of a special type of leaders: Facilitators. Facilitators support small and large teams in accomplishing joint goals by designing and moderating a collaborative team process. While organizational teams can benefit greatly from facilitation support, professional facilitators are expensive to hire or train. Further, internal facilitators are difficult to retain over time as their skills let them raise through the ranks swiftly, or to seek better opportunities outside the organization. In response to these challenges, Collaboration Engineering (CE) researchers work to codify facilitation best practices such that practitioners without collaboration expertise could nonetheless learn to run recurring team work processes with repeatable, transferrable success comparable to that of teams led by expert facilitators [24]. The codification of facilitator practices in repeatable facilitation techniques gave rise to a pattern language called ‘thinkLets’: named, scripted procedures that reliably create predictable variations in the way in which a group moves through its activities towards a shared goal [25]. In other words, a thinkLet specifies how a collaboration professional can guide groups time and again across many situations as it has predictable outcomes in terms of group behaviors. For example, a professional facilitator may apply a specific thinkLet to support a team to make a quick selection from a collection of proposals and apply a different thinkLet when a team needs to be a careful assessment of each proposal. CE researchers categorized thinkLets into six patterns of collaboration, changes-of-state that can be observed over time as they execute their activities: generate, reduce, clarify, organize, evaluate, and build commitment [36].

2.4. Pattern Languages

A design pattern is a reusable solution to address a frequently occurring problem. As defined by Alexander “a pattern describes a problem which occurs over and over again and then describes the core of the solution to

that problem, in such a way that you can use this solution a million times over, without ever doing it the same way twice” [1] (p. X). A pattern language is a “collection of related design patterns that captures the whole of a design process and can guide the designer through step-by-step design guidelines” [6] (p. 12). In other words, it is a collection of reusable solution elements for recurring design problems [2].

Pattern languages have been proposed for domains from architectural design to software engineering, computer science, instructional design, chess [43] and specifically relevant to this study, Collaboration Engineering (CE) [25]. Of particular interest for this paper is the notion that pattern languages support teaching and transfer of expert knowledge to novices. This has been studied in the context of thinkLets in terms of structuring thinkLets documentation and training programs consisting of lectures, simulation, and coaching such that they facilitate knowledge transfer [24]. The successful transfer of thinkLets as reusable and predictable facilitation techniques was demonstrated in a range of field studies in several countries [24].

3. Leadership as a Pattern Language

As mentioned above, CE researchers use the thinkLets pattern language to codify and transfer best facilitation practices [24, 25]. It can be reasoned that small-group facilitation is a form of leadership and that facilitators are a type of leaders. Therefore, as there are reusable patterns to be found among expert facilitators, so there may be patterns to be found in the interventions of expert leaders, whose fundamental purpose is to influence the behaviors of followers in pursuit of a shared goal [12, 52]. Hence, we believe that it is beneficial to study leadership behaviors from the lens of pattern languages.

Analyzing leadership from a pattern language perspective offers three potential benefits in the context of AI leadership and leadership development. First, it provides a systematic method for describing interventions. In a recent leadership development meta-analysis [38], the authors express that the varied nature of interventions and lack of sufficient detail make it difficult to review and integrate the leadership interventions into a single framework. This sentiment was reiterated in a personal conversation with the author as well (C. Lacerenza, Sep 11, 2018). A pattern language for leadership interventions can provide a standardized structure for describing all relevant aspects and details of a leadership intervention, making it easier to (a) compare and contrast the attributes of such interventions; (b) replicate studies of specific interventions, and thus develop more precise, reliable, and replicable observations, from which new theories

can be developed, and (c) provide a pseudo-algorithmic specification of AI leadership functioning [24, 46].

Second, a pattern language facilitates unambiguous communication about leadership interventions. Leadership development experts currently lack a shared language for describing interventions [19]. When a leadership intervention is validated - whether by a practitioner or an academic - dissemination of this knowledge will be more effective if a shared language is used to describe the intervention. A pattern language can serve that purpose. The same applies to developing platform-independent AI leadership foundations; designers need an unambiguous functional specification language that can be shared across development environments and application domains.

Third, a pattern language provides interventions that can be combined and scaled across many contexts and conditions. As noted by Day [20] (see also [22]), there is an important distinction between *leader* development and *leadership* development. While leader development focuses on improving the competence of individual leaders, leadership development focuses on the collective (i.e., the leader and his/her followers) and the context in which they operate. Most scholarly interventions have been in the vein of leader development [21]. While these interventions provide important insights, they are limited by their narrow scope - in terms of time and the number of stakeholders targeted. In this setting, a pattern language offers a method to managing the complexity of developing collectives within dynamic contexts. By codifying leadership behaviors into design patterns, leadership actions become like Lego bricks that can be combined and scaled in different ways to meet the demands of a given context. Therefore, the leadership pattern language can begin by capturing basic relationships, but over time and with more data, it is also capable of accommodate more complex interactions [6].

Finally, the use of a pattern language to model and design leadership interventions may also serve as a unifying theoretical glue: As leadership represents a very broad concept, researchers have been trying to study it from various angles to create a complete picture. With a leadership pattern language, we may be able to discover a collection of design patterns that encompasses all parts of the leadership mosaic. In fact, the various theories of leadership, as conflicting as they may be, consistently share one message: there exists a pattern to human behavior, which, if deciphered, will lead to a comprehensive understanding of leadership. We argue that if the series of patterns that outline leadership behaviors are discovered, then these patterns can be initiated and replicated to achieve the leadership goals. In addition,

once the patterns are identified, new leadership strategies can be designed utilizing a series of patterns and current leadership strategies can be also decomposed effectively.

4. LeadLets: Codified Leadership Practices

In the previous section, we articulated the value of a pattern-based approach to codifying leadership best practices. In this section, we describe how specific leader actions can produce a predictable outcome in followers. Specifically, we propose that it is possible to systematize leadership best practices into a series of named, scripted leadership techniques. This paper takes a first step towards that end by creating a structure for codifying leadership best practices based on leadership patterns. We call these codified and scripted leadership interventions *LeadLets*. LeadLets are named, scripted, and repeatable techniques that have a predictable influence causing a purposeful effect on one or more followers.

While effective leadership may be described in many different ways, it can be generally agreed upon that the followers need to favorably respond to the leader for it to be called a leadership process³ [44]. That is, to be successful, leaders need to behave in a manner that results in followers achieving the desired goals. To gain a better understanding of this process, it may be useful to break the complex structure of leadership behavior into smaller chunks of actions. In other words, any leadership process can be decomposed into a series of miniature leadership interventions (specific actions by the leader), each of which results in a predicted behavioral response from followers, until the desired goal is reached. For example, a leader who was successful in a completing a team task, may have executed a series of specific behaviors, like clarifying goal of the task, communicating expectations about task performance and time to completion, accepting feedback to improve process or outcome related to task, ensuring cooperation, monitoring progress, and recognizing accomplishments to achieve that goal. Each of these actions can be considered a miniature intervention with an explicit follower response, which as a sequence, led to the leader's desired goal. If such successful interventions (best practices) were to be codified in a manner such that they are repeatable and transferrable across people and applications, they would represent, what we consider, LeadLets.

LeadLets can be used individually or in conjunction with other LeadLets to produce a series of consistent and desired responses from followers, resulting in the accomplishment of the collective's goal(s). In other words, each LeadLet produces an outcome that is

³For the sake of simplicity, we refer to *leader* and *follower* as fixed roles. However, we recognize that exerting influence is not always a

top-down process, and that as a result, a leader will, at times, be following and a follower leading.

expected from the execution of that specific LeadLet. A single LeadLet's outcome may be different from the final outcome that the leader desires, yet each LeadLet's outcome contributes towards the final outcome. The final leadership outcome can thus be achieved by executing multiple LeadLets that collectively result in the final outcome. Drawing from the example above, the LeadLet to clarify the goals of the task will only accomplish the clarification goal and not the final leadership goal of successful task completion. Yet, its execution is required in sequence with other LeadLets to achieve the desired goal of task completion.

As a pattern language, LeadLets can be used to address recurring situations which have a consistently successful set of solutions or best practices. To highlight the reasoning behind our approach, we outline Alexander's original intentions of a pattern language [2], and describe its potential in the context of leadership, AI leadership, and leadership development:

- *Providing a convenient common language for communication:* Akin to design patterns, LeadLets are meant to enable leaders and leadership AI designers to name and share complex concepts of leadership interventions without having to explain them repeatedly.
- *Inspiring and designing new or improved patterns:* A pattern describes a solution to a recurring problem [2]. Based on existing patterns, leadership experts can develop new patterns or combine existing ones to make improvements to the pattern language. LeadLets aim to represent elementary leadership interventions that can be combined to (re)create leadership patterns.
- *Designing larger systems based on individual patterns:* Patterns provide solutions for problems from a broader perspective [2]. Similarly, LeadLets can be used in combination to create leadership processes and interventions to guide the actions of a group of people towards a specific goal.
- *Teaching, capturing and transferring expert design knowledge to novices:* Alexander [2] originally intended to use design patterns to support capturing and sharing expert knowledge. LeadLets aim for the same: To capture best leadership practices from literature and experienced leaders so that these can be transferred to novices and AI agents for them to execute these practices and achieve similar results.
- *Enabling 'anyone' to create with patterns:* Similar to Alexander's [2] purpose that anyone should be able to use his design patterns to design homes and other buildings, LeadLets are meant to enable anyone - human or AI agent - to field a successful leadership intervention.
- *Creating coherent systems:* A pattern language is a hierarchical system to create complete and coherent systems rather than a loose collection of individual components [1, 2]. Similarly, LeadLets represent a collection of

complementary building blocks to create leadership processes that can be executed by humans or AI artifacts.

5. Method

Our research aims to develop a pattern-based approach to modeling and designing leadership interventions to inform AI leadership design and leadership development programs. Key components of our research include (a) to demonstrate that there are specific best leadership practices that can be gathered and codified as LeadLets from the experience of seasoned leaders, and (b) that there are patterns of leaderships that represent collections of LeadLets that serve a similar purpose.

5.1. Data Collection

One of the goals of the study was to identify specific leader behaviors that are reusable, have a predictable outcome, and are easily transferable to new contexts and convert them into LeadLets. As described earlier, prior research does not readily provide clear descriptions of behaviors that meet all of these criteria, so it was necessary to collect original data. Accordingly, thirteen leaders (five women and eight men) were interviewed using a semi-structured interview protocol. The interview questions were developed based on the initial conceptualization of a LeadLet and previous experiences from the authors codifying thinkLets.

Participants were recruited through the authors' professional networks. Potential participants were approached based on their demonstrable leadership experience and expected willingness to reflect on their leadership experience. To maximize variation in responses, participants were recruited from multiple industries (e.g., construction, public health, information technology, financial services, and higher education) and career stages (management experience ranged from 5 to 30 years). Participants were asked to describe behaviors they employ because they have observed that they consistently produce the desired response in their followers. The interviewers asked additional questions to extract relevant information, e.g. "What technique did you use," "What is the desired effect of the technique," "Under what conditions would you use or not use this technique?" The interviews were conducted either in person or over the phone. They were audio-recorded and lasted between 30 to 80 minutes.

5.2. Data Analysis

Coding structure. It is critical to know which conditions need to be met and what actions need to be taken to recreate a leadership behavior pattern. It must also be stated when the LeadLet will be and will not be

Description of the LeadLet - <i>This five-part component provides a holistic understanding of the LeadLet.</i>	
<u>Name:</u>	Name of the LeadLet
<u>Purpose:</u>	The that the LeadLet is expected to achieve
<u>Pattern:</u>	The general category of leadership influence this LeadLet should invoke
<u>Effect:</u>	The specific response expected from the followers if the LeadLet is successfully executed
<u>Time Frame:</u>	The estimated amount of time it will take to execute the LeadLet and gain its intended effect
<u>Overview:</u>	A summary of the actions the leader should take to instantiate the LeadLet.
Implementation of the LeadLet - <i>This two-part component elaborates on how the LeadLet is to be instantiated.</i>	
<u>What you need to have:</u>	Capabilities (such as tools and materials) required to instantiate the LeadLet.
<u>What you need to do:</u>	Sequence of actions and instructions to invoke the desired effect among the followers.
Usage Guidelines - <i>This three-part component informs the leader of when the LeadLet is most and least effective.</i>	
<u>Necessary Conditions:</u>	Conditions that need to be met for the LeadLet to be effective.
<u>When to Choose:</u>	Situations that lend themselves to the most effective instantiation of the LeadLet.
<u>When Not to Choose:</u>	Situations that are least conducive to the effective instantiation of the LeadLet.

Table 1. LeadLet conceptual structure.

ENHANCING UNDERSTANDING	
Illuminate	Present irrefutable evidence to spur followers to infer beliefs regarding the situation at hand
EthicalCompass	Clarifying the team's clear belief systems to enable evaluation of issues at hand
ProblemScout	Creating a shared vision of the nature of the problem
OnePager	Requiring a precise understanding of the issue before bringing it to a group for discussion
EmbraceReality	Enabling followers to evaluate their self perceptions with their peers' in a fully transparent environment
LucidGoal	Deliberating on the goals to enable a clear understanding of why the problem should be addressed
STRENGTHENING MOTIVATION	
TrustCluster	Creating a group of followers who are loyal and honest to weigh the desirability of alternate options
CheerLeader	Identifying respected members of the organization who can support the goal to facilitate a broader buy-in among all followers
GroupConsulter	Inviting followers to weigh in on alternative options to hear all relevant considerations
FACILITATING IMPLEMENTATION	
ConcentricCircles	Establishing buy-in and feedback of a group regarding a decision
ProgressMonitor	Identifying obstacles and keeping followers on track
FOSTERING COORDINATION	
PTA (PersonToAct)	Assigning specific action items to specific followers
SmartAssign	Assigning appropriate roles and responsibilities to followers
SmartConnect	Being a conduit of information between followers
PROMOTING COOPERATION	
CommandersIntent	Encouraging followers to use their own judgment on the best way to reach the leader's goal
TourOfDuty	Encouraging followers to utilize their strengths in the pursuit of the leader's goal
ACTIVATING RESOURCES	
IdeaClay	Ensuring that ideas remain pliable and don't get rigidly owned by followers
CritiqueClearnace	Actively encouraging followers to provide constructive feedback
CoffeeWithTheBoss	Encouraging and offering social support to reduce barriers and energize followers to support the leader's goal

Table 2. Overview of LeadLets identified during the interviews.

effective. Without such information, leaders may not be able to reproduce results. LeadLets also need to be parsimonious to be easily transferrable. Considering these criteria and drawing inspiration from the structure adapted from the thinkLets research, a LeadLet was determined to consist of three major components: Description, Implementation, and Usage Guidelines (Table 1).

Coding process. The first three authors independently listened to the interviews, first noting each instance of a specific leader action that the interviewee claimed produced a consistent outcome in his or her followers. Then, these authors met to create a list of unique leader

actions and the respective follower outcomes for each leader. This resulted in 30 candidate LeadLets. Next, these initial LeadLets were organized into one of six leadership patterns, see Results section for details [11]. This was done by having two coders independently assign each LeadLet to a pattern. When there was disagreement it was resolved through discussion until there was consensus regarding all aspects of the LeadLet. During this process, four LeadLets were dropped from the set as they were not specific enough or represented a more generic leadership strategy rather than an intervention. Also, seven LeadLets overlapped and were consolidated. This left 19 unique LeadLets (Table 2).

Description of the LeadLet	
<u>Name:</u>	SmartAssign
<u>Purpose:</u>	Assigning appropriate roles and responsibilities to followers
<u>Pattern:</u>	Fostering Coordination
<u>Effect:</u>	Increased understanding among followers of assigned responsibilities
<u>Time frame:</u>	Very short term; from a few mins to a few hours.
<u>Overview:</u>	Align work with resources by assigning tasks to followers and showing how all tasks are related.
Implementation of the LeadLet	
<u>What you need to have:</u>	<ul style="list-style-type: none"> • Visual or verbal means to communicate with all followers.
<u>What you need to do:</u>	<ul style="list-style-type: none"> • Place yourself front and center of the followers. • Have a list of tasks, assignees, expected outcomes, and expected duration at hand. • Explain/reiterate the overall task. • Say “In order to do this effectively, we have to break it into smaller tasks”. • Assign the subtasks to each assignee in order: <ul style="list-style-type: none"> • Articulate the subtask, expected outcomes, and expected duration to each assignee. • Ask the assignee if they understand the assignment. • Ask if they have any questions and respond. • Repeat till all questions have been answered and they understand the task. • Give them permission to leave with encouragement like “go for it”. • Move on to the next assignee and repeat.
Usage Guidelines	
<u>Necessary Conditions:</u>	<ul style="list-style-type: none"> • You are addressing a group of followers face to face. • You have a clear idea of the main task and its outcome. • You have a clear idea of the individual tasks and their outcome. • You have a person in mind for each task. • You can assess the success and failure of each task independently.
<u>When to Choose:</u>	<ul style="list-style-type: none"> • You are certain that the individuals will follow your instructions. • You have a clear idea of the tasks to be performed. • You have a clear idea of what the outcome of each task is. • You know the person to be assigned for each task. • You have a clear idea regarding other measures of merit that you need to share.
<u>When Not to Choose:</u>	<ul style="list-style-type: none"> • The task is not clear, and you cannot articulate it effectively. • You do not know how to break the large task into individual chunks. • There is a lot of overlap between the individual tasks which may risk duplication of work. • A task cannot easily be parsed into smaller work packages to be executed in parallel by sub-teams. • It is essential that all team members agree on the outcomes of the individual sub-tasks.

Exhibit 1: SmartAssign LeadLet.

Description of the LeadLet	
<u>Name:</u>	ConcentricCircles
<u>Purpose:</u>	To build consensus for a decision by adapting its details to align with private goals of stakeholders
<u>Pattern:</u>	Strengthen Motivation
<u>Effect:</u>	Increased commitment towards the leader’s decision
<u>Time frame:</u>	Short to medium term; anywhere between days to months.
<u>Overview:</u>	Socialize and develop an impending decision and foster buy-in through a phased solicitation of feedback from an expanding number of stakeholders/followers.
Implementation of the LeadLet	
<u>What you need to have:</u>	<ul style="list-style-type: none"> • A list of people that you can pass the decision by and the order in which you want to ask them.
<u>What you need to do:</u>	<ul style="list-style-type: none"> • Identify your “first circle”, i.e. the people you will pass the decision by first. • Evaluate your decision with them and make a note of feedback and any changes to the decision. • Incorporate the feedback and pass it by them again to gauge their commitment. • Once you have their commitment, move on to the people in the ‘second’ circle. • Repeat the process until you have engaged with all relevant circles.
Usage Guidelines	
<u>Necessary Conditions:</u>	<ul style="list-style-type: none"> • The followers are success-critical stakeholders in a decision the leader is about to make. • The followers are willing and able to discuss possible consequences of the decision
<u>When to Choose:</u>	<ul style="list-style-type: none"> • When you have leeway to adapt the details of the decision in response to follower concerns. • The decision impacts success-critical stakeholders with the power to make the decision fail. • The followers in the circles will trust you to keep the agreements you negotiate with them.
<u>When Not to Choose:</u>	<ul style="list-style-type: none"> • The followers do not have enough understanding or expertise to help improve the decision. • You do not have enough time to incorporate the feedback. • The followers have goals that are incompatible with the decision.

Exhibit 2: ConcentricCircles LeadLet.

<p>Enhancing Understanding - Anything that the leader says or does to improve the understanding of the followers to inform their purposeful action towards the leader's goal. Includes, but is not limited to:</p> <ol style="list-style-type: none"> Evaluating prior actions and their results Attributing the results to causes and people Providing information Inferring beliefs regarding the situation at hand, the situation's supporting and hindering factors and actors, and their contingencies Encouraging self-reflection Creating a shared vision of the goal Creating accountability 	<p>Strengthening Motivation - Anything that the leader says or does to increase the willingness of the followers to work towards the leader's goal. Includes, but is not limited to:</p> <ol style="list-style-type: none"> Deliberating possible objectives and their consequences Weighing the desirability of the alternative objectives Deriving concrete intentions Strengthening the motivation to pursue shared goals and individual goals that support the shared goals by focusing on the value of positive consequences, approval by relevant others and the motivation to comply with these relevant others Achieving buy-in
<p>Facilitating Implementation - Anything that the leader says or does to determine how best the followers can go about attaining the leader's goal. Includes, but is not limited to:</p> <ol style="list-style-type: none"> Forming implementation plans and plans for overcoming obstacles Acquiring resources and gaining support Developing skills Identifying opportunities for implementation Activating, focusing and guiding implementation 	<p>Fostering Coordination - Anything that the leader says or does to appropriately align available resources to facilitate attainment of the leader's goal. Includes, but is not limited to:</p> <ol style="list-style-type: none"> Communicating the procedure explicitly and maintaining the structure of communication Ensuring and communicating decisions Employing standardized processes Conveying personal competence and certainty while doing the above
<p>Promoting Cooperation - Anything that the leader says or does to facilitate maximum shared effort of the followers towards the leader's goal. Includes, but is not limited to:</p> <ol style="list-style-type: none"> Encouraging individual contributions to the group's progress Underlining these individual contributions and their uniqueness and indispensability to and effect on collective progress Encouraging and offering social support Delegating individual tasks based on comprehensive work-role-fit regarding interests, competence, and values Permitting autonomy in tasks to allow for self-determination Encouraging to resolve issues through communication 	<p>Activating Resources - Anything that the leader says or does to enable the followers to pursue the leader's goal. Includes, but is not limited to:</p> <ol style="list-style-type: none"> Suggesting or instructing self-efficacy Highlighting positive experiences, past successes, and feasible future accomplishments Focusing positive attributes of individuals and the group as a whole Fostering the expectation to collectively divert impending power losses or to achieve power gains Rewarding and recognizing to call forth and shape future valuable contributions Reducing barriers Permitting constructive criticism

Table 3. Taxonomy of leadership patterns. Adapted from [11].

6. Results

As stated, this study codified 19 unique LeadLets. In addition to identifying LeadLets, a series of patterns of leadership were also identified that appeared to recur for a number of related LeadLets. Based on evaluation of the LeadLets and a review of the literature, these patterns were arranged into a taxonomy of six leadership patterns [45]. *These should not be confused with design patterns that codify leadership techniques.* Consistent with the leadership definition provided earlier, two fundamental leader responsibilities in pursuit of a goal can be derived: (1) the process for accomplishing the goal (i.e. the first three leadership-patterns), and (2) the level of effort their followers invest toward goal attainment (i.e. the other three leadership-patterns) (see Table 3).

A high-level overview of the 19 identified LeadLets is provided in Table 2, including the distribution of the LeadLets among the leadership-patterns. As space constraints preclude the presentation of each LeadLet's details, we illustrate the nature of a leadership design patterns and the information that is captured for a LeadLet for two examples (see Exhibits 1 and 2).

With respect to the codified LeadLets, it is important to note that leadership situations are highly unstructured and can take on several forms. Therefore, when identifying behavior patterns of leaders, one must consider relevant boundary conditions, such as the duration of the leader/follower relationship, the timeframe of the leadership intervention, the size of the follower group, the degree to which goals are already clear, and the degree to which a leadership problem is structured vs. wicked, to name but a few. In this first study, we focused on leadership scenarios with a clear goal for the collective and explored LeadLets for situations with a small number of followers. Additional

research is required both for these conditions and the many other conditions under which leaders operate to discover the and codify the root concepts underpinning leadership and followership. In addition, there may be different ways in which individual leaders instantiate the the required behaviors to execute a LeadLet in a specific situation. Additional work is required to determine whether a deeper level of prescriptive detail is required for novice leaders to faithfully and effectively execute the LeadLets using the current ‘what you need to do’ guidance.

We submit though, that the work in this paper sets a stage for future empirical evaluations of the generalizability and effectiveness of specific LeadLets across a range of conditions. As the collection of LeadLets grows and develops, the scenarios in which they are useful (e.g., senior leaders navigating crises, long-duration teams, etc.) is likely to expand.

7. Discussion & Conclusions

This paper proposes a new perspective on leadership interventions, a pattern language, called LeadLets. There are a number of implications to a pattern language perspective. First, the conceptualization of leadership behavior as a sequence of distinct LeadLets can serve as the foundation to the development of leadership algorithms and structures. This conceptualization represents a first step to facilitate the implementation of AIs with decision-tree and machine learning approaches to evaluating leadership situations and instantiating LeadLets from a repository. Such instantiations may also take place in settings that use Virtual or Augmented Reality that mimic face-to-face interactions between leaders and followers.

Second, LeadLets may be useful to enhance the effectiveness of leadership development programs. A specific collection of LeadLets can be identified and included in a training program where aspirant leaders receive guidance on how to select and apply each LeadLet. Distinct collections of LeadLets could be developed for specific application domains like strategic leadership, operational leadership, and negotiation. Specifying coherent collections of LeadLets would enable the design of targeted leadership development programs.

Third, the leadership literature reports inconsistent findings on leadership interventions. A meta-analysis on discovered significant variability in the effectiveness of each approach, even after accounting for the effects of hypothesized moderators [7]. It could be worthwhile to reexamine these findings by codifying the interventions as LeadLets studies to discover whether the variations could be attributed to subtle, but important differences in

one or more of the LeadLet elements. LeadLets may provide a useful format to report interventions in leadership experiments, making it easier to replicate studies.

Finally, LeadLets provide a useful format to report interventions in leadership experiments such that it becomes easier to replicate studies. Without sharing the exact information that is required to accurately codify a leadership intervention, other researchers cannot be sure what specific actions they have to perform under certain circumstances to stimulate the desired behavior among the followers. Thus, adopting the LeadLet format for research reporting could enhance replicability in leadership development research.

While this paper lays out the background of a pattern language for leadership, there are several limitations that require additional work to expand on this new perspective on leadership research and practice. New interviews with experienced leaders are under way to expand the collection of LeadLets and refine existing ones. A next step would be to validate the collection of LeadLets with a panel of leaders, different from the interviewees. Future work may improve the format and contents of LeadLet documentation. This includes not only the actions that leaders take, but also the situations in which those actions are taken (e.g., organizational culture, crises, time pressure), the characteristics of the followers who are acted upon (e.g., tenure, skill level), and the quality of the relationship between leaders and their followers. As noted earlier, leadership development involves all of these elements, and it would undermine the effectiveness of an intervention to focus solely on the actions of the leader. This is especially true as it applies to the leader–follower relationship, which recent meta-analytic work has observed plays a central role in the effect leaders can have on their followers [33, 42].

Further work may also determine whether leadership patterns and LeadLets vary across industries. Since our interviews involved leaders from multiple industries, it is possible that the codified LeadLets may have limited applicability to a specific industry.

Furthermore, as work progresses, researchers may be able to derive a smaller set of fundamental principles upon which all LeadLets are founded, which may make it possible to reduce the larger body of discovered LeadLets to a smaller canonical set that can be adapted across a broader range of contexts. Better LeadLet codification and classification may, in turn, make it easier to conceive new LeadLets to address previously intractable leadership problems. It may also provide a simpler foundation for developing algorithms to implement leadership behaviors in AI entities, the ultimate goal of the research stream this paper launches. Finally, it may be possible to incorporate a set of validated LeadLets into a blueprint leadership development program, and to make comparative assessments of

the quality of the such programs through observational and experimental assessments of trainee performance.

As researchers explore the LeadLets concept in a variety of domains across a variety of leadership scenarios, such as strategic leadership or crisis leadership, researchers may establish theoretical models to explain and predict the repeatable effects produced by LeadLets. Why does a LeadLet work and under what circumstances? Developing the theoretical foundations for each LeadLets would support the development of additional LeadLets and guide experimental research to demonstrate the value of particular interventions. Finally, LeadLets can also be explored in other problem spaces where leadership is not as restricted in terms of time, space, and membership. For instance, to improve the perception of the CEOs towards their employees or political leaders towards their constituents.

The concept of leadership is analogous to the metaphorical elephant that researchers have been trying to comprehensively understand from various angles. By integrating (sometimes contradictory) theories into a coherent mosaic, leadership researchers have pushed the boundaries of our understanding of what leadership is. Building on this vast body of leadership research, we propose enriching it with a bottom-up, pattern-based perspective that may help capture effective leadership interventions to inform leadership development of human and AI agents. A leadership pattern language may encompass all relevant parts of the mosaic of this metaphorical elephant and may even highlight any missing parts. If a rich collection of patterns that outline various leadership behaviors are discovered, then these behaviors can be consistently repeated and passed on to future (AI-based) leaders, thereby bringing the concept of leadership development to a new level.

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